**Annex A to the UNDP integrated resources plan and integrated budget estimates for 2018-2021**

*Summary*

The present document is Annex A to the UNDP integrated resources plan and integrated budget estimates for 2018-2021 (DP/2017/39), and should be considered as part of that document.

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**Organizational chart 1**

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**1** This represents UNDP’s current structure.

**Figure 1a - Comparison of available resources between 2014 – 2017 and 2018 - 2021**



**Figure 1b – Estimated contributions for 2018-2021 by year and major funding source[[1]](#footnote-2)**

*in millions of dollars*



**Table 1a – Detailed Integrated Resources Plan, 2014-2017 latest estimates compared with 2018-2021 estimates**

*in millions of dollars*



a/ Includes government contributions towards local office costs; income the United Nations Volunteers programme derives from providing volunteers to the United Nations organizations, and an accounting linkage to off-set the costs of reimbursing income taxes paid by United Nations staff on their salaries.

b/ Opening balance reflects actual amount recorded in the UNDP financial statements. Following the harmonized format for the integrated budget presentation, cost recovery balances are co-mingled with other resources balances.

c/ Includes interest, miscellaneous income and adjustments related to foreign exchange gain/loss, opening reserve increases/decreases, and miscellaneous expenditures.

d/ Includes regular resources in 2018-2021 for the United Nations Office for South-South Cooperation budget of $26.2 million, comprised of $12.2 million (institutional budget) and $14.0 million (programmatic budget)

e/ The total expenditure for the functions of the independent Office of Audit and Investigations for 2014-2017 is estimated at $76.1m (2014 to 2016 are actual, and 2017 is estimated expenditure). This comprises of: $40.7 million for internal audit; $22.5 million for investigations; and $12.9 million for management support as well as other expenditure.  For the year 2017, the budget included a provision for 82 positions, which covered:  51 positions for internal audit; 24 positions for investigations; and 7 for the OAI directorate.

The above parameters formed the basis for the preparation of the 2018-2021 budget proposal for the independent Office of Audit and Investigations

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Table 1b – Comparison of 2014-2017 resources plan with 2014-2017 latest estimates** |  |  |  |
|  | *in millions of dollars* |  |  |  |

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a/ Includes government contributions towards local office costs; income the United Nations Volunteers programme derives from providing volunteers to the United Nations organizations, and an accounting linkage to off-set the costs of reimbursing income taxes paid by United Nations staff on their salaries.

b/ Opening balance reflects actual amount recorded in the UNDP financial statements. Following the harmonized format for the integrated budget presentation, cost recovery balances are co-mingled with other resources balances.

c/ Includes interest, miscellaneous income and adjustments related to foreign exchange gain/loss, opening reserve increases/decreases, and miscellaneous expenditures.

**Table 2 –** **Estimated resources, by Strategic Plan outcome, 2018-2021**

*in millions of dollars*

**Refer to Annex 1 to the Strategic Plan**

**Table 3 - Distribution of 2014-2017 resources by region, typology and LDC/non-LDC**

**Part 1 – highlights by country typology**

*in millions of dollars*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Programme** | | | | | | **Institutional** | | | | | | **Total** | | | | | |
|  | **Regular resources** | | **Other resources** | | **Total** | | **Regular resources** | | **Other resources** | | **Total** | | **Regular resources** | | **Other resources** | | **Regular and other resources** | |
|  | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** | **$m** | **%** |
| **LIC** | **1,121** | **86%** | **7,527** | **55%** | **8,648** | **58%** | **294** | **55%** | **384** | **53%** | **677** | **54%** | **1,415** | **77%** | **7,911** | **55%** | **9,326** | **57%** |
| **MIC (GNI p.c. < $6,660)** | **161** | **12%** | **3,992** | **29%** | **4,153** | **28%** | **201** | **37%** | **198** | **27%** | **399** | **32%** | **362** | **20%** | **4,190** | **29%** | **4,552** | **28%** |
| **MIC (GNI p.c. > $6,660)** | **23** | **2%** | **1,905** | **14%** | **1,905** | **13%** | **42** | **8%** | **102** | **14%** | **144** | **11%** | **65** | **3%** | **2,007** | **14%** | **2,072** | **13%** |
| **NCC** | **0** | **0%** | **235** | **2%** | **235** | **2%** | **0** | **0%** | **44** | **6%** | **44** | **3%** | **0** | **0%** | **279** | **2%** | **279** | **2%** |
| **Total** | **1,305** | **100%** | **13,659** | **100%** | **14,965** | **100%** | **536** | **100%** | **728** | **100%** | **1,264** | **100%** | **1,842** | **100%** | **14,387** | **100%** | **16,228** | **100%** |

**Part 2 – regular (core) resources[[2]](#footnote-3)**

*in millions of dollars*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | |  | |  | |  | |  | | |  | | |
| **2014-2017 allocation of regular (core) resources by region** | | | | | | | | | | | | |  | | |
| **Core: TRAC-1, 2 and 3** | |  | |  | |  | |  | |  | | |  | | |
|  | | **Programmatic resources** | | | | **Institutional resources** | | | | **Total resources** | | | | | |
|  | | **$** | | **%** | | **$** | | **%** | |  | | | **%** | | |
| Africa | | 766 | | 59% | | 234 | | 44% | | 999 | | | 54% | | |
| Asia and the Pacific | | 322 | | 25% | | 111 | | 21% | | 433 | | | 23% | | |
| Arab States | | 91 | | 7% | | 55 | | 10% | | 146 | | | 8% | | |
| Europe and the CIS | | 62 | | 5% | | 68 | | 13% | | 130 | | | 7% | | |
| Latin America and the Caribbean | | 65 | | 5% | | 69 | | 13% | | 134 | | | 7% | | |
| **Total** | | **1,305** | | **100%** | | **536** | | **100%** | | **1,842** | | | **100%** | | |
|  | |  | |  | |  | |  | |  | | |  | | |
|  | |  | |  | |  | |  | |  | | |  | | |
| **2014-2017 allocation of regular (core) resources by income typology** | | | | | | | | | |  |  | | |  | | |
| **Core: TRAC-1, 2 and 3** | |  | |  | |  | |  | |  | | |  | | |
|  | | **Programmatic resources** | | | | **Institutional resources** | | | | **Total resources** | | | | | |
|  | | **$** | | **%** | | **$** | | **%** | |  | | | **%** | | |
| LIC | | 1,121 | | 86% | | 293 | | 55% | | 1,415 | | | 77% | | |
| MIC | | 161 | | 12% | | 201 | | 38% | | 362 | | | 20% | | |
| MIC (GNI per capita > $6,660) | | 23 | | 2% | | 42 | | 8% | | 65 | | | 4% | | |
| NCC | | - | | 0% | | - | | 0% | | - | | | 0% | | |
| **Total** | | **1,305** | | **100%** | | **536** | | **100%** | | **1,842** | | | **100%** | | |
|  | |  | |  | |  | |  | |  | | |  | | |
|  | |  | |  | |  | |  | |  | | |  | | |
| **2014-2017 allocation of regular (core) resources by LDC/non-LDC status** | | | | | | | | | |  | | |  | | |
|  | |  | |  | |  | |  | |  | | |  | | |
| **Core: TRAC-1, 2 and 3** | |  | |  | |  | |  | |  | | |  | | |
|  | | **Programmatic resources** | | | | **Institutional resources** | | | | **Total resources** | | | | | |
|  | | **$** | | **%** | | **$** | | **%** | |  | | | **%** | | |
| LDC | | 887 | | 68% | | 236 | | 44% | | 1,124 | | | 61% | | |
| Non-LDC | | 418 | | 32% | | 300 | | 56% | | 718 | | | 39% | | |
| **Total** | | **1,305** | | **100%** | | **536** | | **100%** | | **1,842** | | | **100%** | | |

**Part 3 – other (non core) resources**

*in millions of dollars*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2014-2017 allocation of other (non core) resources by region** | | | | | | | |  | |  | |  | |
|  |  |  |  |  |  | | |  | |  | |  | |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | | | | **Total resources** | | | |
|  | **Bilateral/multilateral** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | | | **%** | |  | | **%** | |
| Africa | 2,921 | 29% | 501 | 14% | 189 | | | 26% | | 3,611 | | 25% | |
| Asia and the Pacific | 3,671 | 37% | 184 | 5% | 170 | | | 23% | | 4,025 | | 28% | |
| Arab States | 1,550 | 15% | 513 | 14% | 150 | | | 21% | | 2,212 | | 15% | |
| Europe and the CIS | 938 | 9% | 322 | 9% | 56 | | | 8% | | 1,316 | | 9% | |
| Latin America and the Caribbean | 921 | 9% | 2,138 | 58% | 163 | | | 22% | | 3,222 | | 22% | |
| **Total** | **10,001** | **100%** | **3,658** | **100%** | **728** | | | **100%** | | **14,387** | | **100%** | |
|  |  |  |  |  |  | | |  | |  | |  | |
| **2014-2017 allocation of other (non core) resources by income typology** | | | |  |  | | |  | |  | |  | |
|  |  |  |  |  |  | | |  | |  | |  | |
|  |  |  |  |  |  | | |  | |  | |  | |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | | | | **Total resources** | | | |
|  | **Bilateral/multilateral** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | | | **%** | |  | | **%** | |
| LIC | 6,915 | 69% | 611 | 17% | 384 | | | 53% | | 7,911 | | 55% | |
| MIC | 2,486 | 25% | 1,506 | 41% | 198 | | | 27% | | 4,190 | | 29% | |
| MIC (GNI per capita > $6,660 | 562 | 6% | 1,344 | 37% | 101 | | | 14% | | 2,007 | | 14% | |
| NCC and Transitional NCC | 38 | 0% | 198 | 5% | 44 | | | 6% | | 279 | | 2% | |
| **Total** | **10,001** | **100%** | **3,658** | **100%** | **728** | | | **100%** | | **14,387** | | **100%** | |
|  |  |  |  |  |  | | |  | |  | |  | |
| **2014-2017 allocation of other (non core) resources by LDC/non-LDC status** | | | | | |  |  | |  | |  | |  | |
|  |  |  |  |  |  | | |  | |  | |  | |
|  |  |  |  |  |  | | |  | |  | |  | |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | | | | **Total resources** | | | |
|  | **Bilateral/multilateral** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | | | **%** | |  | | **%** | |
| LDC | 5,557 | 56% | 532 | 15% | 316 | | | 43% | | 6,406 | | 45% | |
| Non-LDC | 4,444 | 44% | 3,126 | 85% | 411 | | | 57% | | 7,981 | | 55% | |
| **Total** | **10,001** | **100%** | **3,658** | **100%** | **728** | | | **100%** | | **14,387** | | **100%** | |

**Part 4 – total (core and non core) resources**

*in millions of dollars*

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2014-2017 allocation of total (core and non-core) resources by region** | | | | | | |  |  |
| **Core: TRAC-1, 2 and 3** |  |  |  |  |  |  |  |  |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | **Total resources** | |
|  | **Bilateral/multilateral and core resources** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | **%** | **$** | **%** |
| Africa | 3,687 | 33% | 501 | 14% | 423 | 33% | 4,610 | 28% |
| Asia and the Pacific | 3,993 | 35% | 184 | 5% | 280 | 22% | 4,457 | 27% |
| Arab States | 1,641 | 15% | 513 | 14% | 205 | 16% | 2,359 | 15% |
| Europe and the CIS | 1,000 | 9% | 322 | 9% | 124 | 10% | 1,446 | 9% |
| Latin America and the Caribbean | 986 | 9% | 2,138 | 58% | 232 | 18% | 3,356 | 21% |
| **Total** | **11,306** | **100%** | **3,658** | **100%** | **1,263** | **100%** | **16,228** | **100%** |
|  |  |  |  |  |  |  |  |  |
| **2014-2017 allocation of total (core and non-core) resources by income typology** | | | | |  |  |  |  |
| **Core: TRAC-1, 2 and 3** |  |  |  |  |  |  |  |  |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | **Total resources** | |
|  | **Bilateral/multilateral and core resources** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | **%** | **$** | **%** |
| LIC | 8,036 | 71% | 611 | 17% | 678 | 54% | 9,325 | 57% |
| MIC | 2,647 | 23% | 1,506 | 41% | 399 | 32% | 4,551 | 28% |
| MIC (GNI per capita > $6,660 | 585 | 5% | 1,344 | 37% | 143 | 11% | 2,072 | 13% |
| NCC and Transitional NCC | 38 | 0% | 198 | 5% | 44 | 3% | 280 | 2% |
| **Total** | **11,306** | **100%** | **3,658** | **100%** | **1,263** | **100%** | **16,228** | **100%** |
|  |  |  |  |  |  |  |  |  |
| **2014-2017 allocation of total (core and non-core) resources by LDC/non-LDC status** | | | | |  |  |  |  |
| **Core: TRAC-1, 2 and 3** |  |  |  |  |  |  |  |  |
|  | **Share of programmatic resources** | | | | **Share of institutional resources** | | **Total resources** | |
|  | **Bilateral/multilateral and core resources** | | **Government cost sharing** | |
|  | **$** | **%** | **$** | **%** | **$** | **%** | **$** | **%** |
| LDC | 6,444 | 57% | 532 | 15% | 552 | 44% | 7,528 | 46% |
| Non-LDC | 4,862 | 43% | 3,126 | 85% | 712 | 56% | 8,700 | 54% |
| **Total** | **11,306** | **100%** | **3,658** | **100%** | **1,263** | **100%** | **16,228** | **100%** |

**Table 4a - Proposed programmatic component of the integrated budget for 2018-2021**

*in millions of dollars*

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |  |
|  | **Programmatic component of the integrated budget** |  |  |  |  |  |  |  |
|  | **Programmes** | **2018** | **2019** | **2020** | **2021** |  | **2018-2021 Total** |  |
|  | **Country window** |  |  |  |  |  |  |  |
|  | TRAC-1 | 256.4 | 256.4 | 256.4 | 256.4 |  | 1,025.6 |  |
|  | TRAC-2 | 23.3 | 61.3 | 79.6 | 86.7 |  | 250.9 |  |
|  | TRAC-3 | 35.5 | 35.5 | 35.5 | 35.5 |  | 142.0 |  |
|  | Programme of Assistance to the Palestinian People (PAPP) | 1.6 | 1.9 | 2.0 | 2.1 |  | 7.5 |  |
|  | **Subtotal Country Window** | **316.8** | **355.1** | **373.5** | **380.7** |  | **1,426.0** |  |
|  | **Regional window** |  |  |  |  |  |  |  |
|  | **Regional programmes** | **29.6** | **34.6** | **37.0** | **38.0** |  | **139.3** |  |
|  | **Global window** |  |  |  |  |  |  |  |
|  | Human Development Report Office (HDRO) | 5.1 | 5.1 | 5.1 | 5.1 |  | 20.4 |  |
|  | **Subtotal Global Window** | **5.1** | **5.1** | **5.1** | **5.1** |  | **20.4** |  |
|  |  |  |  |  |  |  |  |  |
|  | **Subtotal - Programmes** | **351.5** | **394.9** | **415.6** | **423.8** |  | **1,585.7** |  |
|  |  |  |  |  |  |  |  |  |
|  | **Development effectiveness** |  |  |  |  |  |  |  |
|  | South-South cooperation programme | 3.5 | 3.5 | 3.5 | 3.5 |  | 14.0 |  |
|  | Consolidated development effectiveness line (\*) | 26.5 | 31.0 | 33.1 | 34.0 |  | 124.6 |  |
|  | United Nations Capital Development Fund | 1.0 | 1.2 | 1.3 | 1.3 |  | 4.7 |  |
|  | **Subtotal - Development effectiveness** | **31.0** | **35.7** | **37.9** | **38.8** |  | **143.3** |  |
|  | **Subtotal – Development activities (Programmes plus development effectiveness)** | **382.5** | **430.5** | **453.5** | **462.6** |  | **1,729.0** |  |
|  | **UN development coordination** |  |  |  |  |  |  |  |
|  | **Support to Resident Coordinator** | **16.0** | **16.0** | **16.0** | **16.0** |  | **64.0** |  |
|  |  |  |  |  |  |  |  |  |
|  | **Total - programmatic component of the integrated budget** | **398.5** | **446.5** | **469.5** | **478.5** |  | **1,793.0** |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  | **Institutional component of the integrated budget** | **295.8** | **284.0** | **256.8** | **257.3** |  | **1,093.9** |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  | **Grand total integrated budget** | **694.3** | **730.5** | **726.3** | **735.8** |  | **2,886.9** |  |
|  |  |  |  |  |  |  |  |  |

|  |
| --- |
| (\*) Consolidated development effectiveness line includes global and regional support for country programming, and in-country development effectiveness support for programming |

**Table 4b - Programming financial framework, 2018-2021 compared with 2014-2017**

*in millions of dollars*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  | **Programmes** | **2014-2017** |  | **2018-2021** |  |
|  | **Country window** |  |  |  |  |
|  | TRAC-1 | 1,025.6 |  | 1,025.6 |  |
|  | TRAC-2 | 241.2 |  | 250.9 |  |
|  | TRAC-3 | 133.5 |  | 142.0 |  |
|  | Programme of Assistance to the Palestinian People (PAPP) | 7.2 |  | 7.5 |  |
|  | **Subtotal country window** | **1,407.5** |  | **1,426.0** |  |
|  | **Regional window** |  |  |  |  |
|  | **Regional Programmes:** | **127.3** |  | **139.3** |  |
|  | **Global window** |  |  |  |  |
|  | Human Development Report Office (HDRO) | 19.4 |  | 20.4 |  |
|  | **Subtotal global window** | **19.4** |  | **20.4** |  |
|  |  |  |  |  |  |
|  | **Subtotal - Programmes** | **1,554.2** |  | **1,585.7** |  |
|  |  |  |  |  |  |
|  | **Development effectiveness activities** |  |  |  |  |
|  | South-South cooperation programme | 13.8 |  | 14.0 |  |
|  | Consolidated development effectiveness line (\*) | 102.3 |  | 124.6 |  |
|  | United Nations Capital Development Fund | 4.0 |  | 4.7 |  |
|  | **Subtotal - development effectiveness** | **120.1** |  | **143.3** |  |
|  | **Subtotal – development activities (programme plus development effectiveness)** | **1,674.3** |  | **1,729.0** |  |
|  | **UN development coordination** |  |  |  |  |
|  | **Support to Resident Coordinator** | **63.8** |  | **64.0** |  |
|  |  |  |  |  |  |
|  | **Total - Programmatic component of the integrated budget** | **1,738.2** |  | **1,793.0** |  |
|  |  |  |  |  |  |

(\*) for comparability, the lines for Global programmes, Development support services, Economists' programme, Gender mainstreaming, and Policy advisory services are presented within the consolidated development effectiveness line.

**Table 4c – TRAC-1 allocation distribution:**

**By region**

|  |  |  |
| --- | --- | --- |
| **Region** | **2014-2017** | **2018-2021** |
| Africa | 62.2% | 69.9% |
| Asia and the Pacific | 22.4% | 16.1% |
| Arab States | 6.5% | 7.4% |
| Latin America and the Caribbean | 4.4% | 3.7% |
| Europe and the CIS | 4.5% | 2.9% |
| **Total** | **100.0%** | **100.0%** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **By programme country income status** | | | |  |  |
| **Income status** | **2014-2017** | **2018-2021** |
| LICs and transitional MICs | 91.3% | 92.0% |
| MICs with GNI per capita < $6,660 | 7.5% | 6.5% |
| MICs with GNI per capita > $6,660 | 1.2% | 1.5% |
| **Total** | **100.0%** | **100.0%** |

|  |  |  |
| --- | --- | --- |
| **By LDC/Non-LDC status** | | |
| **LDC/Non-LDC status** | **2014-2017** | **2018-2021** |
| LDC | 73.8% | 83.4% |
| Non-LDC | 26.2% | 16.6% |
| **Total** | **100.0%** | **100.0%** |

**Table 4d – Programme countries income status movements**

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**Table 4e – Distribution of TRAC-1 by country, region, typology and LDC/non-LDC**

*Annual amounts, in thousands of dollars*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Region** | **Country** | **Income** | **LDC** | **Amount** |
| **Africa** | Angola | MIC | LDC | 1,241 |
| Benin | LIC | LDC | 3,726 |
| Botswana | MIC (GNI per capita > $6,660) |  | 150 |
| Burkina Faso | LIC | LDC | 5,322 |
| Burundi | LIC | LDC | 11,035 |
| Cameroon | LIC |  | 1,181 |
| Cape Verde | MIC |  | 350 |
| Central African Republic | LIC | LDC | 7,469 |
| Chad | LIC | LDC | 3,156 |
| Comoros | LIC | LDC | 1,834 |
| Congo (the) | MIC |  | 350 |
| Cote d'Ivoire | MIC |  | 760 |
| Democratic Republic of the |  |  |  |
| Congo | LIC | LDC | 13,237 |
| Equatorial Guinea | MIC (GNI per capita > $6,660) |  | 150 |
| Eritrea | LIC | LDC | 5,264 |
| Ethiopia | LIC | LDC | 10,353 |
| Gabon | MIC (GNI per capita > $6,660) |  | 150 |
| Gambia | LIC | LDC | 4,815 |
| Ghana | MIC |  | 1,057 |
| Guinea | LIC | LDC | 7,514 |
| Guinea-Bissau | LIC | LDC | 3,981 |
| Kenya | LIC |  | 1,992 |
| Lesotho | MIC | LDC | 711 |
| Liberia | LIC | LDC | 6,964 |
| Madagascar | LIC | LDC | 9,212 |
| Malawi | LIC | LDC | 11,264 |
| Mali | LIC | LDC | 4,959 |
| Mauritania | LIC | LDC | 1,379 |
| Mauritius | MIC (GNI per capita > $6,660) |  | 150 |
| Mozambique | LIC | LDC | 7,091 |
| Namibia | MIC |  | 350 |
| Niger | LIC | LDC | 9,451 |
| Nigeria | MIC |  | 1,951 |
| Rwanda | LIC | LDC | 5,281 |
| Sao Tome and Principe | MIC | LDC | 461 |
| Senegal | LIC | LDC | 2,484 |
| Sierra Leone | LIC | LDC | 4,480 |
| South Africa | MIC (GNI per capita > $6,660) |  | 150 |
| South Sudan | LIC | LDC | 3,278 |
| Swaziland | MIC |  | 350 |
| United Republic of Tanzania | LIC | LDC | 5,565 |
| Togo | LIC | LDC | 5,799 |
| Uganda | LIC | LDC | 7,674 |
| Zambia | MIC | LDC | 1,822 |
| Zimbabwe | LIC | 3,014 |
| **Africa total** |  |  |  | **178,926** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Arab states** | Algeria | MIC | LDC | 350 |
| Djibouti | MIC | 461 |
| Egypt | MIC |  | 350 |
| Iraq | MIC |  | 478 |
| Jordan | MIC |  | 350 |
| Lebanon | MIC (GNI per capita > $6,660) |  | 150 |
| Libya | MIC (GNI per capita > $6,660) |  | 150 |
| Morocco | MIC |  | 350 |
| Somalia | LIC | LDC | 12,042 |
| Sudan | MIC | LDC | 1,800 |
| Syrian Arab Republic | MIC | 350 |
| Tunisia | | MIC |  | 350 |
| Yemen | | LIC | LDC | 1,879 |
| **Arab states total** | |  |  | **19,060** |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Region** |  | **Country** |  | **Income** |  | **LDC** | **Amount** |
| **Asia and the Pacific** | Afghanistan | | LIC |  | LDC |  | 6,347 |
|  | Bangladesh |  | LIC |  | LDC |  | 4,502 |
|  | Bhutan |  | MIC |  | LDC |  | 461 |
|  | Cambodia |  | LIC |  | LDC |  | 2,832 |
|  | China |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Democratic People's Republic of Korea | | LIC |  |  |  | 4,599 |
|  | Federated States of Micronesia | | MIC |  |  |  | 50 |
|  | Fiji |  | MIC |  |  |  | 350 |
|  | India |  | MIC |  |  |  | 2,424 |
|  | Indonesia |  | MIC |  |  |  | 369 |
|  | Iran, (Islamic Republic) of (the) | | MIC |  |  |  | 350 |
|  | Kiribati |  | MIC |  | LDC |  | 63 |
|  | Lao People's Democratic Republic | | MIC |  | LDC |  | 1,334 |
|  | Malaysia |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Maldives |  | MIC |  |  |  | 350 |
|  | Marshall Islands | | MIC |  |  |  | 50 |
|  | Mongolia |  | MIC |  |  |  | 350 |
|  | Myanmar |  | LIC |  | LDC |  | 5,008 |
|  | Nepal |  | LIC |  | LDC |  | 5,325 |
|  | Niue |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Pakistan |  | MIC |  |  |  | 1,440 |
|  | Palau |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Papua New Guinea | | MIC |  |  |  | 450 |
|  | Philippines |  | MIC |  |  |  | 350 |
|  | Samoa |  | MIC |  |  |  | 350 |
|  | Solomon Islands | | MIC |  | LDC |  | 522 |
|  | Sri Lanka |  | MIC |  |  |  | 350 |
|  | Thailand |  | MIC |  |  |  | 350 |
|  | Timor-Leste | | MIC |  | LDC |  | 461 |
|  | Tokelau |  | MIC |  |  |  | 50 |
|  | Tonga |  | MIC |  |  |  | 50 |
|  | Tuvalu |  | MIC |  | LDC |  | 60 |
|  | Vanuatu |  | MIC |  | LDC |  | 87 |
|  | Viet Nam |  | MIC |  |  |  | 1,402 |
| **Asia and the Pacific total** |  |  |  |  |  |  | **41,086** |
|  |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |
| **Europe and the CIS** | Albania |  | MIC |  |  |  | 350 |
|  | Armenia |  | MIC |  |  |  | 350 |
|  | Azerbaijan |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Belarus |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Bosnia and Herzegovina | | MIC |  |  |  | 350 |
|  | Macedonia, the Former Yugoslav Republic of | | MIC |  |  |  | 350 |
|  | Georgia |  | MIC |  |  |  | 350 |
|  | Kazakstan |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Kyrgyzstan |  | LIC |  |  |  | 1,137 |
|  | Montenegro | | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Republic of Moldova | | MIC |  |  |  | 350 |
|  | Saint Helena | | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Serbia |  | MIC |  |  |  | 350 |
|  | Tajikistan |  | LIC |  |  |  | 1,551 |
|  | Turkey |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Turkmenistan | | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Ukraine |  | MIC |  |  |  | 350 |
|  | Uzbekistan |  | MIC |  |  |  | 982 |
| **Europe and the CIS total** |  |  |  |  |  |  | **7,420** |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Latin America and the**  **Caribbean** | Argentina |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Belize |  | MIC |  |  |  | 68 |
|  | Bolivia, Plurinational State of | | MIC |  |  |  | 350 |
|  | Brazil |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Colombia |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Costa Rica |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Cuba |  | MIC |  |  |  | 350 |
|  | Dominica |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Dominican Republic | | MIC |  |  |  | 350 |
|  | Ecuador |  | MIC |  |  |  | 350 |
|  | El Salvador |  | MIC |  |  |  | 350 |
|  | Grenada |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Guatemala |  | MIC |  |  |  | 350 |
|  | Guyana |  | MIC |  |  |  | 350 |
|  | Haiti |  | LIC |  | LDC |  | 3,695 |
|  | Honduras |  | MIC |  |  |  | 350 |
|  | Jamaica |  | MIC |  |  |  | 350 |
|  | Mexico |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Montserrat |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Nicaragua |  | MIC |  |  |  | 546 |
|  | Panama |  | MIC (GNI per capita > $6,660) | |  |  | 150 |
|  | Paraguay |  | MIC |  |  |  | 350 |
|  | Peru |  | MIC |  |  |  | 350 |
|  | Saint Lucia |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Saint Vincent and the Grenadines | | MIC |  |  |  | 50 |
|  | Suriname |  | MIC (GNI per capita > $6,660) | |  |  | 50 |
|  | Venezuela, (Bolivarian Republic of) | | MIC (GNI per capita > $6,660) | |  |  | 150 |
| **Latin America and the**  **Caribbean total** |  |  |  |  |  |  | **9,509** |
| **Grand total[[3]](#footnote-4)** |  |  |  |  |  |  | **256,000** |

**Table 5a – Summary of main areas of increase/decrease**

*in millions of dollars*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | **Total** |  | **% of 2014-2017** |
|  |  |  |  |  |
| **I.** | **2014-2017 net institutional component of the integrated budget** | **1,025.8** |  |  |
|  |  |  |  |  |
| **II.** | **Cost increases (non-discretionary)** | **87.5** |  |  |
|  |  |  |  |  |
|  | **Total cost increases** | 87.5 |  | 8.5% |
|  |  |  |  |  |
| **III.** | **Volume increases/decreases** |  |  |  |
|  | Phase-out of 2014-2017 transitionary measures | (199.2) |  |  |
|  | Reductions due to efficiency gains | (34.3) |  |  |
|  | **a. Total volume decreases** | (233.5) |  | -22.8% |
|  |  |  |  |  |
|  | Additional core institutional funding for 2018-2019 | 49.0 |  |  |
|  | Provision for strategic investment | 28.0 |  |  |
|  | **b. Total volume increases** | 77.0 |  | 7.5% |
|  |  |  |  |  |
|  | **Net volume decreases (III.a + III.b)** | (156.5) |  | -15.3% |
|  |  |  |  |  |
| IV. | **Net reductions to institutional component of the integrated budget (II + III)** | (69.0) |  | -6.7% |
|  |  |  |  |  |
| **V.** | **2018-2021 net appropriation estimates (I + IV)** | 956.8 |  |  |
|  |  |  |  |  |
| **VI.** | **Estimated income to the institutional components of the integrated budget** | 137.1 |  |  |
|  |  |  |  |  |
| **VII.** | **2018-2021 gross appropriation estimates (V + VI)** | 1,093.9 |  |  |
|  |  |  |  |  |

**Table 5b – Institutional component of the integrated budget by category of expenditure**

*in millions of dollars*

****

**Table 5c.i - Government contributions to local office costs income by category of countries, 2016**

*in millions of dollars*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Income category** | **GLOC obligations including in-kind contributions** | **Income received** | | | **Percentage received against obligations** | **Cash arrears received** | **Total income plus cash arrears received** |
| **Cash and accounting linkage** | **In-kind contributions** | **Total income received** |
| **Low-income countries (GNI per capita $1,280 and below)** | 8.7 | 1.8 | 1.0 | 2.8 | 31% | 4.6 | 7.4 |
| **Middle-income countries (GNI per capita < $6,660)** | 25.1 | 9.5 | 5.7 | 15.2 | 61% | 10.2 | 25.4 |
| **Middle-income countries (GNI per capita > $6,660)** | 8.1 | 5.5 | 2.6 | 8.1 | 100% | 1.6 | 9.6 |
| **Net contributor countries** | 11.1 | 9.8 | 1.2 | 11.1 | 100% | - | 11.1 |
| **Total** | **53.0** | **26.5** | **10.6** | **37.1** | **70%** | **16.4** | **53.5** |

**Table 5c.ii - Government contributions to local office costs waivers for 2018-2019**(based on 2012-2015 average GNI per capita)

*in dollars*

|  |  |  |
| --- | --- | --- |
| **Based on 2012-2015 average GNI per capita** | **Programme Country Waiver Percentage** | **Income category of programme country** |
| $1,280 and below | 75% | Low income country |
| $1,281 to $2,140 | 50% | Middle income country |
| $2,141 to $6,660 | 25% | Middle income country |

**Table 5d – Regular resources senior posts, by location**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **2014-2017 approved posts (per DP/2013/41)** | | | | **Changes** | | | | **2017 status** | | | | |
|  | **ADM, USG, ASG** | **D2** | **D1** | **Total** | **ADM, USG, ASG** | **D2** | **D1** | **Total** | **ADM, USG, ASG** | | **D2** | **D1** | **Total** |
|  |  |  |  |  |  |  |  |  | |  |  |  |  |
| Country offices | 0 | 43 | 116 | 159 | 0 | 7 | -9 | -2 | | 0 | 50 | 107 | 157 |
| Regional centres | 0 | 2 | 11 | 13 | 0 | 1 | 9 | 10 | | 0 | 3 | 20 | 23 |
| Headquarters | 10 | 26 | 50 | 86 | 0 | -8 | -3 | -11 | | 10 | 18 | 47 | 75 |
| **Total** | 10 | 71 | 177 | 258 | 0 | 0 | -3 | -3 | | 10 | 71 | 174 | 255 |

**Table 6a – 2018-2021 general management services (GMS) cost recovery income estimates by funding stream**

*in millions of dollars*

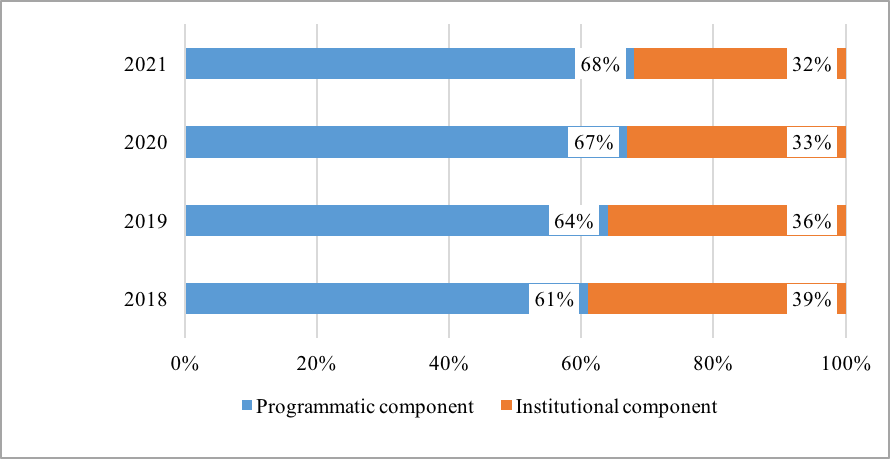
|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Funding stream** | **2018** | **2019** | **2020** | **2021** | **2018-2021 total** |
| Donor Cost Sharing | 110 | 116 | 120 | 123 | 469 |
| EC Cost Sharing | 18 | 19 | 20 | 20 | 77 |
| EC Trust Fund | 1 | 1 | 1 | 1 | 5 |
| Funding Windows | 0 | 0 | 0 | 0 | 1 |
| Global Environmental Facility | 48 | 50 | 52 | 52 | 202 |
| Global Fund | 33 | 35 | 36 | 36 | 140 |
| Government Cost Sharing | 38 | 39 | 40 | 40 | 157 |
| Green Climate Fund | 2 | 3 | 3 | 3 | 10 |
| Montreal Protocol | 2 | 3 | 3 | 3 | 10 |
| Other Trust Funds | 27 | 29 | 29 | 30 | 115 |
| South-South Cost Sharing | 1 | 1 | 1 | 1 | 2 |
| Thematic Trust Fund | 2 | 3 | 3 | 3 | 10 |
| **Grand Total** | **284** | **297** | **306** | **312** | **1,200** |

**Table 6b – Use of regular (core) resources for institutional activities**

*in millions of dollars*

|  |  |
| --- | --- |
| **Core institutional budget for recurring activities** | **$993** |
| Less: Development effectiveness activities | $189 |
| Less: United Nations development coordination activities | $393 |
| Less: Non-comparable special purpose activities | $44 |
| Less: Critical cross-cutting management functions | $179 |
| **Total institutional budget [per cost recovery policy]** | **$188** |
|  |  |
| **Financing of the above institutional budget:** |  |
| 8% times the proposed programmatic budget for development activities of $1,729m | $138 |
| Additional core institutional budget funding (for 2018-2019 period) | $49 |
| **Total financing** | **$188** |

**Figure 2 – Changing proportions of the proposed integrated budget from 2018 to 2021**



1. Reflects 5% annualized growth from the estimated level of $4.5 billion in 2017 to $5.5 billion in 2021 [↑](#footnote-ref-2)
2. Excludes regular (core) resources funded backbone of the United Nations resident coordination activities. [↑](#footnote-ref-3)
3. The $256.0 million in TRAC-1 in this table is net of US$0.4 million in funding of a special reserve for potential adjustments to TRAC-1 and transitional NCCs in 2018-2019 ($50k per country for Seychelles, Nauru, Cook Islands, Antigua and Barbuda, and Saint Kitts and Nevis). This is in line with decision 2002/18. [↑](#footnote-ref-4)